

Fish Migration Optimization with Dynamic Grouping Strategy for Solving Job-Shop Scheduling Problem

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Abstract

Aiming at the job-shop scheduling problem (JSP), a dynamic grouping fish migration optimization (DFMO) is proposed to solve it. The DFMO algorithm adopts a multi-group structure to improve the convergence ability of the algorithm. And the opposition-based learning (OBL) strategy is applied to the group with poor overall fitness value to improve its solving environment. This paper proposes three different communication strategies to exchange information between different groups. In order to better determine the communication time between groups, a dynamic detection method based on population diversity is proposed. Compared with the static method of determining the communication time between groups, the proposed method can make the group more fully explore the current area and more hopefully find the optimal solution. The experiment in this paper is divided into two parts, one part is the numerical experiment test, the other part is the JSP problem standard library test. From the experimental results, the DFMO algorithm can obtain good results in both parts of the experiment, and has a good problem optimization ability.

Keywords: Fish migration optimization, Job-shop scheduling problem, Dynamic grouping strategy, Population diversity, Opposition-based learning

1 Introduction

Scheduling problem means that the final scheduling result can achieve the expected goal by reasonably arranging the sequence of each work. The goal can be to maximize the resource utilization of the system, or minimize the maximum completion time, etc. As the basic model of scheduling problems, the job-shop scheduling problem (JSP) has a wide range of application backgrounds, such as transportation [1-2], manufacturing, network communication [3], medical and health care, wireless sensor networks, cloud computing [4] and so on. Therefore, the research on the JSP problem has important theoretical value and practical significance [5-6].

As a complex combinatorial optimization problem, the JSP problem has been proved to be a typical NP-hard problem [7]. The algorithms for solving the JSP problem can be roughly divided into three categories: exact algorithms [8-9], heuristic algorithms and meta-heuristic algorithms [5, 10].

Although the exact algorithm can guarantee to find the optimal solution of the problem, it will take more time. With the increase of the scale of the JSP problem, the solution time of exact algorithm will become unbearable. The heuristic algorithm and the meta-heuristic algorithm can approximate the theoretical optimal solution and spend less time. At present, the main methods of solving the JSP problems are heuristic algorithms and meta-heuristic algorithms [5, 10]. The meta-heuristic algorithm is still a heuristic algorithm in essence, which adds different requirements to the search process on the basis of heuristic algorithm. And the meta-heuristic algorithm is not designed for a specific class of problems, so it has a wider application field [11-12]. The inspiration of meta-heuristic algorithms usually comes from various physical, chemical and biological phenomena in nature. By simulating certain behaviors and laws, the main body of the algorithm is constructed. Many meta-heuristics have existed so far. The representative algorithms are: simulated annealing (SA) [13], genetic algorithm (GA) [14-15], differential evolution (DE) [2, 16], tabu search (TS) [17-18], particle swarm optimization (PSO) [19-21], ant colony optimization (ACO) [22], artificial neural network (ANN) [23], grey wolf optimizer (GWO) [24], cuckoo search (CS) algorithm [25-26], phasmatodea population evolution (PPE) algorithm [27], sine cosine algorithm (SCA) [28], quasi-affine transformation evolution (QUATRE) [29], etc.

At present, more and more meta-heuristic algorithms have been successfully applied to solve the JSP problems. For example, Park et al. [30] designed a genetic algorithm to efficiently solve the JSP problem. Tasgetiren et al. [31] discretized the PSO algorithm and the DE algorithm, and successfully applied them to the solution of the JSP problem. Other meta-heuristic algorithms such as simulated annealing [32], tabu search [33], ant colony optimization [34], artificial neural network [35], and artificial bee colony [36] have also been successfully applied to solve the JSP problem. Some scholars proposed to mix the two meta-heuristic algorithms to solve the JSP problem more effectively. In [37], Ponsich and Coello mixed the DE algorithm and tabu search, and proposed the DE-TS algorithm. The hybrid DE-TS algorithm can solve the JSP problem better and has strong competitiveness. Literatures [38-39] give the algorithms design of the hybrid PSO algorithm and the hybrid ACO algorithm in solving the JSP problem respectively. With the popularity of deep learning in recent years, some scholars have also proposed to

apply the relevant technologies in deep learning to solve the JSP problem [40-43].

As a new meta-heuristic algorithm, the fish migration optimization (FMO) algorithm [44] simulates the swim and migration process of the graylings. The FMO algorithm has a good global search ability, but the local search ability is poor, which leads to poor optimization ability of the algorithm. At present, some studies have improved the FMO algorithm and applied it to different fields. Chai et al. [45] introduced the adaptive and self-assessment mechanism into the FMO algorithm to enhance the local search capability of the algorithm, and applied the improved algorithm to solve the localization problem in wireless sensor networks. In order to improve the optimization performance of the algorithm on complex functions, Guo et al. [46] used the theory of fractional calculus to improve the FMO algorithm. Pan et al. [47] binarized the FMO algorithm and successfully applied it to solve the unit commitment problem in the power system.

Aiming at the problems of poor solution accuracy and poor local search ability of the FMO algorithm, a dynamic grouping FMO algorithm (DFMO) is proposed in this paper. In the algorithm structure, the DFMO algorithm uses a multi-group structure. Existing studies have shown that multi-group structure can speed up the convergence speed and improve the optimization ability of the algorithm. At present, many intelligent optimization algorithms have been improved with multi-group structure, such as the PPSO algorithm [48], the PEO algorithm [49], the MFPA algorithm [50] etc. In the algorithms with multi-group structure, it is also necessary to formulate appropriate communication strategies and communication time among groups. In the DFMO algorithm, this paper formulates three communication strategies among different groups to complete the exchange of information. In the formulation of communication time among groups, previous studies often adopt a static method. Although this method can guarantee the completion of information exchange among groups, it will also lead to the destruction of group population information, which in turn leads to the deterioration of algorithm performance. Therefore, this paper proposes a dynamic detection method of communication time based on the population diversity [51]. In addition, the opposition-based learning (OBL) [52] strategy is also introduced in the DFMO algorithm to improve the global search ability. Finally, the test results show that the proposed DFMO algorithm can better solve numerical optimization problems and the JSP problem, and has a good optimization ability.

The rest of this paper is arranged as follows: the Section 2 describes the principle of the FMO algorithm, and establishes the mathematical model of the JSP problem. The improvement ideas and process design of the DFMO algorithm will be introduced in Section 3. The Section 4 is the numerical experiment, which is used to verify the optimization ability of the DFMO algorithm. The application and testing of the DFMO algorithm to the JSP problem will be covered in Section 5. The Section 6 is the summary of this paper and the improvement direction of future work.

2 Preliminaries

In this section, the mathematical model of the job-shop scheduling problem (JSP) and the optimization principle of the fish migration optimization (FMO) algorithm are introduced.

2.1 The Mathematical of Job-Shop Scheduling Problem

The JSP problem can be described as a processing factory using m machines to process n workpieces. The set of workpieces is expressed as $J = \{J_1, J_2, \dots, J_n\}$, and the set of processing machines is expressed as $M = \{M_1, M_2, \dots, M_m\}$. The machine and the corresponding processing time required for the processing of each workpiece are known and cannot be changed. The task of scheduling is to arrange a reasonable workpiece processing sequence to optimize the processing performance index under the condition of meeting the constraints.

The constraints are described as follows:

- 1) Each processing machine can only process one workpiece at a time and cannot be interrupted halfway;
- 2) Each workpiece is processed only once on each processing machine;
- 3) The failure of the machine is not considered;
- 4) Each workpiece must be processed according to the predetermined processing technology, and each workpiece has the same priority.

In this paper, the minimum machine makespan is selected as the performance index. The makespan of each machine is expressed by $T_j (j = 1, 2, \dots, m)$. Based on the above description, the mathematical model of JSP problem is established [53].

Objective function:

$$f^* = \min\{\max(T_j)\}. \quad (1)$$

Subject to:

$$C_{ik} - p_{ik} + H(1 - a_{ihk}) \geq C_{ih}. \quad (2)$$

$$C_{jk} - C_{ik} + H(1 - x_{ijk}) \geq p_{jk}. \quad (3)$$

$$C_{ik} \geq 0. \quad (4)$$

$$x_{ijk} = \begin{cases} 1, & \text{if condition 1 is met} \\ 0, & \text{else} \end{cases}. \quad (5)$$

$$a_{ihk} = \begin{cases} 1, & \text{if condition 2 is met} \\ 0, & \text{else} \end{cases}. \quad (6)$$

In the above formula, C_{ik} and p_{ik} respectively represent the completion time and processing time of the workpiece i on the processing machine k . Both variables are non-negative numbers. H is a large enough positive number. x_{ijk} and a_{ihk} are indicative variables and coefficients, and Equation (5) and (6) are the values of the two variables. Equation (1) is the objective function of the JSP problem, and is also the fitness function of the proposed algorithm. Equation (2) represents the processing sequence constraint of each workpiece. Equation (3) is the constraint of the machine required for each process of the workpiece. In Equation (5) and (6), condition 1 means that workpiece i is processed on machine k before workpiece j , and condition 2 means that machine h processes workpiece i before machine k .

2.2 Fish Migration Optimization

Fish Migration Optimization (FMO) is a new swarm intelligence optimization algorithm proposed by Pan et al. in 2010. The FMO algorithm is divided into two stages, which simulates the migration and swim process of the grayling respectively. Figure 1 shows the growth cycle of the grayling. “0+” to “4+” represents the 5 growth stages of the grayling from juvenile to adult.

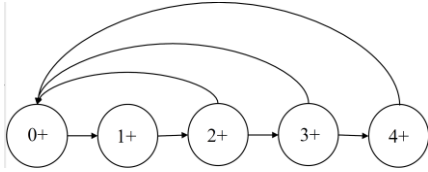


Figure 1. Life cycle graph of the grayling.

S represents the survival rate of the grayling. With the continuous growth of the grayling, the survival rate will be getting higher, that is ($S_1 < S_2 < S_3 < S_4$). F represents the proportion of the graylings returning to their birthplace for reproduction. Similarly, with the continuous growth of the grayling, the proportion of reproduction will become higher, that is ($F_2 < F_3 < F_4$). In the FMO algorithm, each individual is represented according to the data structure given in Equation (7).

$$X = \langle P, P_{pre}, Val, Eng, Phase \rangle, \quad (7)$$

where X represents an individual of the grayling. P and P_{pre} represent the current and pervious position of the individual, respectively. Val is the fitness value calculated by P . $Phase$ is the growth stage of the individual. Eng represents the energy. The greater the value, the stronger the migration ability. With the continuous growth of the grayling, the energy will gradually decrease. In the initialization phase, Eng is set to 2. Equation (8) gives the updated equation of Eng . i represents the i -th individual.

$$Eng(i) = Eng(i) - \frac{Val(i)}{sum(Val)}. \quad (8)$$

The swim process actually simulates the process of the grayling looking for food. In this process, the individual grayling moves in the solution space through Equation (9).

$$P_i^{new} = P_i^{old} + \frac{consumption * ori_speed}{a + b * abs(ori_speed)^x}, \quad (9)$$

where, P_i^{old} and P_i^{new} represent the current position and the updated position of the i th individual, respectively. a , b and x are all constants. In the FMO algorithm, they are set to 5.27, 11.44 and 2.44 respectively. $consumption$ refers to the random energy consumption in the process of individual movement, and the calculation formula is shown in Equation (10). Parameter $r1$ is the random number between 0 and 1, and E_max represents the maximum energy consumption of the individual movement, which is set as a constant 2.

$$consumption = \begin{cases} r1 * E_{max}, & \text{if } Eng(i) > E_{max} \\ r1 * Eng(i), & \text{else} \end{cases}. \quad (10)$$

Equation (11) is the calculation formula of ori_speed , and $r2$ is the random number between 0 and 1.

$$ori_speed = \begin{cases} P - P_{pre}, & \text{if } r2 > 0.5 \\ P_{pre} - P, & \text{else} \end{cases}. \quad (11)$$

When the grayling individuals mature, some individuals will return to their birthplaces to reproduce and breed offspring. In this process, the FMO algorithm first counts the number of individuals in each stage. Since individuals with growth stages of “0+” and “1+” do not have the ability to reproduce, so these individuals only update the growth stage. For individuals with growth stages of “2+” and “3+”, some individuals will return to their birthplace, and others will only update their growth stage. For individuals returning to their birthplace, the position will be updated according to Equation (12), and the $Phase$ will be updated to “0+”. In the Equation (12), P_{gbest} is the global optimal solution, and $r3$ is the random number. Individuals whose growth stage is “4+” will all return to their birthplace and their $Phase$ will be updated to “0+”.

$$P = P_{gbest} + r3 * (P - P_{gbest}). \quad (12)$$

Through the above process, FMO algorithm can better solve many complex optimization problems. However, the FMO algorithm still has the problem of poor global search ability and low solution accuracy. In order to further improve the optimization ability of the FMO algorithm, this paper proposes the DFMO algorithm. And in the next section, we will introduce the improvement process of the FMO algorithm in this paper.

3 Dynamic Grouping Fish Migration Optimization

To solve the problem of poor accuracy of the FMO algorithm, this paper proposes a dynamic grouping FMO algorithm (DFMO). Different from the FMO algorithm structure, the DFMO algorithm adopts multi-group (population) structure. Compared with the single population algorithm structure, the multi-group algorithm structure can greatly improve the search range of the algorithm, and is more beneficial to explore the promising areas in the space.

The DFMO algorithm consists of two groups: one named group B and one named group W . The group optimal solution is expressed by $pbest$, and the global optimal solution is expressed by $gbest$. The two groups contained the same number of individuals, but the fitness value of individuals in group B was better than that in group W . Therefore, at each regrouping, some individuals in the two groups will be exchanged. After each grouping, group B updates its $pbest$ to $gbest$. The purpose of this operation is to enable group B to search the region near $gbest$ more fully, so as to enhance the local search ability of the algorithm. After grouping, group W will execute the opposition-based learning (OBL) strategy, so as to expand the search space of the group and enhance the global search ability of the algorithm. Equation (13) is the individual update formula based on the opposition-based learning strategy.

$$X_{i,op}^W = lb + ub - X_i^W, \tag{13}$$

where, lb and ub are the lower and upper bounds of the current search space.

In the DFMO algorithm, this paper formulates three different communication strategies to realize information sharing between different groups (populations). Before information exchange, the optimal values of the two groups need to be compared. The winning side, we think, has the better optimization ability to guide the evolution of the poorer side. For the convenience of description, this paper assumes that the winning side is represented by X_{win} , the losing side by X_{lose} , and the optimal solution of the winning side is represented by X_{win} .

Communication Strategy 1:

In Strategy 1, the optimal individual in the winning side guides the evolution of all individuals in the losing side, as shown in Equation (14).

$$X_{lose,i} = X_{lose,i} + 2 * rand * abs(X_{win,best} - X_{lose,i}), \tag{14}$$

where, $rand$ is a random number between 0 and 1.

Communication Strategy 2:

Strategy 2 adds the influence of random individuals on the basis of Strategy 1. In Strategy 2, the individual in the losing side randomly selects an individual from the winning side. If the fitness value of the randomly selected individual is better than that of the losing side, the optimal individual of the winner and the randomly selected individual jointly guide the evolution of this individual. Otherwise, the method of Strategy 1 is still adopted. Specifically, the formulas are shown in Equation (15) and (16).

$$weight = \begin{cases} \frac{abs(X_{win,rand} - X_{lose,i}) + abs(X_{win,best} - X_{lose,i})}{2}, & \text{if } Fit(X_{win,rand}) < Fit(X_{lose,i}). \\ abs(X_{win,best} - X_{lose,i}), & \text{else} \end{cases} \tag{15}$$

$$X_{lose,i} = X_{lose,i} + 2 * rand * weight. \tag{16}$$

Communication Strategy 3:

Both Strategy 1 and 2 are used for all individuals of the losing side. In Strategy 3, only some individuals are selected to accept the evolutionary guidance of the winner. In the DFMO algorithm, these individuals are those whose fitness value is greater than the average value of the failed group. The inter-group communication equation of Strategy 3 still uses the communication equation of Strategy 2, namely Equations (15) and (16).

In the multi-group algorithms, while formulating the communication strategies among groups, it is also necessary to set the appropriate communication time. However, previous studies usually set the communication time to static, that is, it usually adopts a fixed number of iterations at a certain interval. Although this method can ensure the information sharing among groups, it may lead to the situation that the current group fails to fully explore the current region due to the introduction of information from other groups, and even lead to the deterioration of the solving quality of the group. So the communication time needs to be determined dynamically according to the state of the population. In order to capture the dynamic changes of population state, the concept of population diversity is introduced in this paper. By measuring

changes in population diversity, communication strategies and individual exchanges between different groups are dynamically executed. For the measurement of population diversity, this article adopts the measurement method of literature [51]. This method is relatively simple to implement, and the calculation cost is also low. Equation (17) is the measurement formula of population diversity.

$$Diversity = ps * [\sum_{i=1}^{Dim} \sum_{j=1}^{ps} (X_{ij} - C_i)], \tag{17}$$

where, ps is the number of individuals in the population, and Dim is the dimension of the current solution space. C_i represents the center position of the i th column in the population X , that is, the mean value of the i th column.

The execution flow chart of the DFMO algorithm is shown in Figure 2. Ds in the figure is the threshold of population diversity, which is set as $1e - 10$ in the algorithm. Below this threshold, it is considered that the population has stagnated. $flag$ is the flag to judge whether to regroup. It should be noted that the DFMO algorithm is still a single population structure at the beginning, which can better accelerate the convergence speed of the algorithm in the early stage.

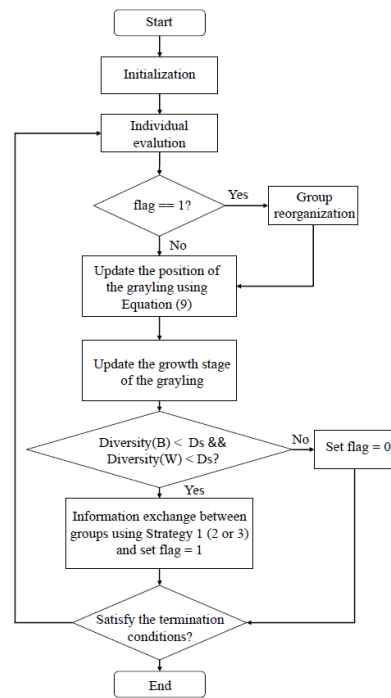


Figure 2. Flow chart of the DFMO algorithm

4 Numerical Experimental Analysis

In order to verify the effectiveness of the DFMO algorithm, this paper selects 23 benchmark functions for performance testing. Table 1 lists the details of the 23 benchmark functions. The f^* column is the optimal value of the function. The experiment of this part is divided into two parts. One part is the comparison experiment between three versions of the DFMO algorithm and the original FMO algorithm to verify the performance improvement of the proposed improvement idea on the original FMO algorithm. The other part is the comparison between the DFMO algorithm and other swarm intelligence algorithms to verify the competitiveness of the

DFMO algorithm. During the experiment, the maximum number of iterations of each algorithm is set to 1000 and the maximum number of particles is set to 30. Each algorithm has 30 consecutive tests on each function. The optimal value, mean and standard deviation of the experiment are recorded. The Matlab version is R2019b and the operating system is Windows 10.

Table 1. The details of the benchmark functions

| Function | Dim | Bound | f^* |
|--------------------------|-----|---------------|----------|
| Sphere | 30 | [-100, 100] | 0 |
| Schwefel's function 2.21 | 30 | [-10, 10] | 0 |
| Schwefel's function 1.2 | 30 | [-100, 100] | 0 |
| Schwefel's function 2.22 | 30 | [-100, 100] | 0 |
| Rosenbroke | 30 | [-30, 30] | 0 |
| Step | 30 | [-100, 100] | 0 |
| Dejong's noisy | 30 | [-1.28, 1.28] | 0 |
| Schwefel | 30 | [-500, 500] | -12569 |
| Rastringin | 30 | [-5.12, 5.12] | 0 |
| Ackley | 30 | [-32, 32] | 0 |
| Griewank | 30 | [-600, 600] | 0 |
| Generalized penalized 1 | 30 | [-50, 50] | 0 |
| Generalized penalized 2 | 30 | [-50, 50] | 0 |
| Fifth of Dejong | 2 | [-65, 65] | 1 |
| Kowalik | 4 | [-5, 5] | 0.0003 |
| Six-hump camel back | 2 | [-5, 5] | -1.0316 |
| Branins | 2 | [-5, 5] | 0.398 |
| Goldstein-Price | 2 | [-2, 2] | 3 |
| Hartman 1 | 3 | [1, 3] | -3.86 |
| Hartman 2 | 6 | [0, 1] | -3.32 |
| Shekel 1 | 4 | [0, 10] | -10.1532 |
| Shekel 2 | 4 | [0, 10] | -10.4028 |
| Shekel 3 | 4 | [0, 10] | -10.5363 |

4.1 Comparison Between the DFMO Algorithm and the FMO Algorithm

Table 2 records the comparison of experimental results of the FMO algorithm and the DFMO algorithm, and the better results are bolded. DFMO_v i represents the DFMO algorithm that adopts the i th ($i=1,2,3$) communication strategy. From the experimental results, the number of better results obtained by the DFMO algorithm is significantly better than that of the FMO algorithm, and the optimization ability has been greatly improved. The stability has also been improved. The DFMO algorithm is only weaker than the FMO algorithm on the F21-23 functions.

Comparing the three inter-group communication strategies of the DFMO algorithm, the DFMO_v2 algorithm adopting the second communication strategy is slightly better than the other two strategies in terms of optimal value, mean and standard deviation. Although the DFMO_v1 algorithm can obtain better results on the optimal value, its stability is poor. For example, on functions F3 and F12, the optimal value obtained by the DFMO_v1 algorithm is the best among the three DFMO algorithms, but the mean and standard deviation are the worst among the three. Therefore, it is uncertain to improve the algorithm only by using the optimal individual to guide the evolution. It may bring benefits to the algorithm, and it may also lead to the deterioration of the algorithm. Strategies 2 and 3 adopt the way that some individuals accept the best

individuals to guide the evolution. While achieving better results, the algorithm can also be relatively stable.

4.2 Comparison with Other Swarm Intelligence Algorithms

In order to verify the competitiveness of the proposed DFMO algorithm proposed, this paper selects the PSO algorithm, the SCA algorithm and the parallel PSO algorithm (PPSO) as the comparison objects. In the experiment, the parameters $c1$ and $c2$ of the PSO algorithm are set to 2 and ω is set to 0.9. In the SCA algorithm, parameter a is set to 2. In the PPSO algorithm, the number of groups is set to 2, $c1$ and $c2$ are set to 2, and ω is set to 0.7. Table 3 records the experimental results of the DFMO algorithm and the other three algorithms, and the better results are bolded. The DFMO algorithm version in Table 3 is the DFMO_v2 in Table 2.

From the results in the Table 3, the DFMO algorithm, the PSO algorithm and the PPSO algorithm are close in the number of optimal values, and the three algorithms have achieved theoretical optimal values on functions F9, F11 and F16. However, the DFMO algorithm is more competitive than the other three algorithms in the number of mean and standard deviation. Both the DFMO algorithm and the PPSO algorithm are multi-group structures. And from the experimental results, they are better than the SCA algorithm and the PSO algorithm with a single population structure in terms of optimal value, mean and standard deviation. But the DFMO algorithm has better optimization ability than PPSO algorithm. This is because the communication time adopted by the PPSO algorithm is static, which may make the group fail to fully explore the current region and lead to the deterioration of the optimization ability of the algorithm. The DFMO algorithm, by measuring the population diversity of each group, dynamically determines the communication time, which can ensure that each group can fully explore its own solution area, so as to improve the local search ability of the algorithm and obtain better solution results.

In order to further illustrate the comparison between DFMO algorithm and other swarm intelligence algorithms, Wilcoxon rank-sum test is also carried out in this paper. During the test, the significance level α is set to 0.05. The relevant experimental data is recorded in Table 4. The "+" symbol indicates that the optimization performance of the DFMO algorithm is better than the compared algorithm, and the "-" symbol indicates that the DFMO is worse than the compared algorithm. According to the results in the Table 4, the DFMO algorithm is superior to other three algorithms on the whole.

To verify the convergence of the DFMO algorithm, the convergence curves of four algorithms are selected as the verification method. Figure 3 shows the convergence curves of the four algorithms on functions F3, F6, F8, F9, F10, F21, F22, and F23. It can also be seen from the convergence curve that the convergence speed of the DFMO algorithm is faster than the other three algorithms, and has better convergence performance.

Table 2. The results of simulation experiments

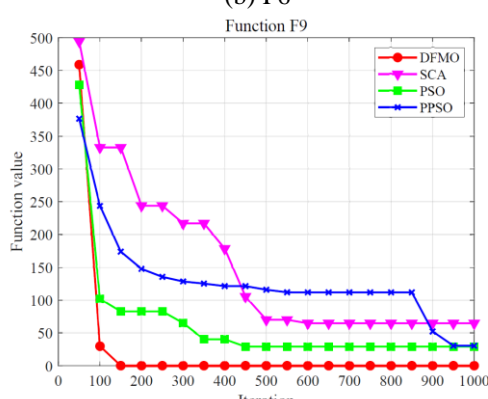
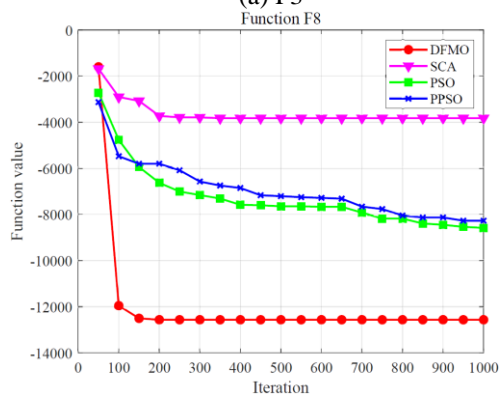
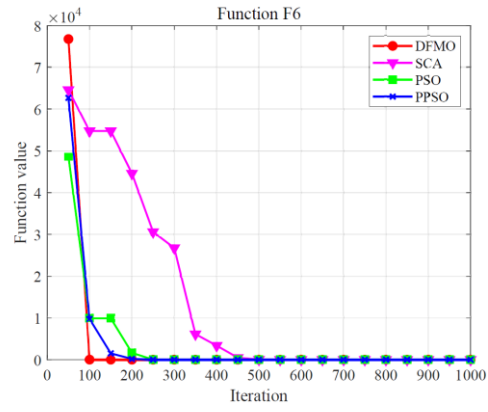
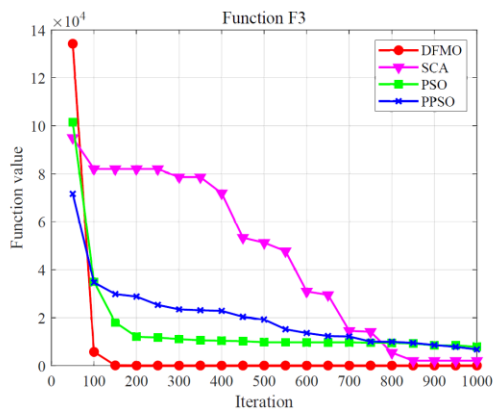
| Function | FMO | | | DFMO v1 | | | DFMO v2 | | | DFMO v3 | | |
|----------|--------------|--------------|--------------------|-----------------|--------------------|--------------------|-----------------|---------------------|--------------------|-----------------|-----------------|--------------------|
| | Best | Mean | Std | Best | Mean | Std | Best | Mean | Std | Best | Mean | Std |
| F1 | 54443.96564 | 68176.26235 | 7446.581344 | 7.66E-75 | 5.81295E-54 | 3.18386E-53 | 6.41E-83 | 2.74866E-77 | 9.16536E-77 | 5.01E-13 | 6.76E-10 | 1.99414E-09 |
| F2 | 5.31222E+39 | 1.12862E+43 | 2.30512E+43 | 2.37E-41 | 8.87767E-37 | 3.675E-36 | 7.62E-43 | 1.49502E-40 | 4.18981E-40 | 0.000223 | 0.007822 | 0.012355092 |
| F3 | 77623.88053 | 159208.3124 | 43910.82439 | 5.8E-55 | 27513.94153 | 26502.95627 | 6.88E-49 | 3.15944E-37 | 1.03225E-36 | 0.047175 | 14.13235 | 31.46629971 |
| F4 | 79.19042006 | 87.76900118 | 3.110648609 | 1.42E-20 | 78.56443842 | 22.22196408 | 8.38E-38 | 1.50672E-34 | 4.42173E-34 | 1.49E-07 | 3.59E-06 | 4.66222E-06 |
| F5 | 190671319.5 | 257423892.9 | 36572183.44 | 28.60294 | 18382574.46 | 45022485.04 | 28.53862 | 28.73828569 | 0.058706857 | 28.55216 | 28.7239 | 0.043477388 |
| F6 | 40412.95169 | 66963.58531 | 8417.805175 | 1.033977 | 2.695765552 | 0.614051609 | 1.604405 | 2.414645069 | 0.337315975 | 3.050836 | 3.655558 | 0.362548824 |
| F7 | 66.54516113 | 123.0034198 | 25.18404367 | 6.44E-06 | 12.01643293 | 35.39371643 | 1.19E-05 | 0.00014107 | 0.000117854 | 2.78E-05 | 0.000312 | 0.000252047 |
| F8 | -3904.351782 | -2224.708821 | 610.0306723 | -12569.5 | -12434.26487 | 586.8173074 | -12569.5 | -12331.07111 | 1302.165135 | -12569.4 | -12566.3 | 4.2114779 |
| F9 | 381.749036 | 441.2136255 | 22.00062585 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1.06E-10 | 3.28125E-10 |
| F10 | 20.2439856 | 20.66950842 | 0.134370795 | 8.88E-16 | 8.88178E-16 | 0 | 8.88E-16 | 8.88178E-16 | 0 | 1.26E-09 | 5.45E-08 | 9.28077E-08 |
| F11 | 484.5055285 | 603.954638 | 64.69438001 | 0 | 0 | 0 | 0 | 0 | 0 | 1.64E-13 | 1.31E-10 | 2.86678E-10 |
| F12 | 234360140.1 | 545167867.5 | 133502219.9 | 0.024728 | 192493779.6 | 238274552.4 | 0.061469 | 0.149980393 | 0.064574383 | 0.257427 | 0.470248 | 0.177434593 |
| F13 | 633263220.3 | 1127598172 | 249587339.2 | 0.705971 | 197172531.3 | 310307046.9 | 0.231183 | 0.620708907 | 0.178543174 | 0.25118 | 0.483854 | 0.145942196 |
| F14 | 6.51596933 | 143.4591811 | 146.8267019 | 0.998004 | 3.811517245 | 3.620531755 | 0.998004 | 2.859565842 | 3.468293763 | 0.998004 | 1.765655 | 0.925796076 |
| F15 | 0.005930128 | 0.122777272 | 0.10225652 | 0.000312 | 0.002188669 | 0.001741932 | 0.000435 | 0.002211493 | 0.001418953 | 0.000322 | 0.001751 | 0.001493497 |
| F16 | -1.007465125 | 1.297567804 | 2.524035425 | -1.03163 | -1.026318843 | 0.016798319 | -1.03163 | -1.031598089 | 0.000115563 | -1.03163 | -1.02727 | 0.010453825 |
| F17 | 0.45278412 | 2.522995405 | 2.341547372 | 0.39789 | 0.398861612 | 0.002030387 | 0.397889 | 0.399117827 | 0.003524538 | 0.397888 | 0.407212 | 0.024567717 |
| F18 | 3.347998696 | 72.00285869 | 88.97223937 | 3 | 3.00124333 | 0.002184056 | 3.000026 | 3.003683459 | 0.008499798 | 3.000213 | 3.011605 | 0.018470822 |
| F19 | -3.813999232 | -3.425622358 | 0.370877765 | -3.86256 | -3.741663719 | 0.086039668 | -3.86276 | -3.745946516 | 0.145654267 | -3.8559 | -3.75329 | 0.078081986 |
| F20 | -2.110525285 | -1.291600351 | 0.412166498 | -3.22115 | -2.843621761 | 0.476386978 | -3.30813 | -3.123959616 | 0.097760988 | -3.20711 | -2.88664 | 0.233026077 |
| F21 | -1.900612114 | -0.59088347 | 0.375700945 | -10.1532 | -6.332409196 | 3.880489764 | -10.1531 | -9.242086476 | 2.069120832 | -10.1532 | -9.1151 | 2.090745413 |
| F22 | -1.323445959 | -0.613496763 | 0.21810435 | -10.4027 | -5.360218849 | 3.654133017 | -10.4028 | -7.749394958 | 3.10516732 | -10.4028 | -9.78119 | 1.88125415 |
| F23 | -2.399574213 | -0.908403214 | 0.467424692 | -10.5362 | -6.885030407 | 3.883833826 | -10.5363 | -9.59815583 | 2.1250152 | -10.5362 | -8.56463 | 2.817238304 |
| Sum | 0 | 0 | 3 | 15 | 5 | 5 | 16 | 14 | 13 | 7 | 7 | 5 |

Table 3. The statistical results of the DFMO algorithm and other three swarm intelligence algorithms

| Function | DFMO | | | SCA | | | PSO | | | PPSO | | |
|----------|---------------------|---------------------|--------------------|-----------------|-----------------|-----------------|-----------------|---------------------|--------------------|-----------------|-----------------|--------------------|
| | Best | Mean | Std | Best | Mean | Std | Best | Mean | Std | Best | Mean | Std |
| F1 | 6.41146E-83 | 2.74866E-77 | 9.16536E-77 | 2.69E-06 | 0.0505 | 0.161903 | 0 | 1333.333333 | 3457.459036 | 0 | 0 | 0 |
| F2 | 7.62164E-43 | 1.49502E-40 | 4.18981E-40 | 2.92E-07 | 5.23E-05 | 7.99E-05 | 0 | 110 | 109.3870067 | 0 | 2.652324 | 14.52737691 |
| F3 | 6.87523E-49 | 3.15944E-37 | 1.03225E-36 | 231.382 | 3633.389 | 3529.051 | 0 | 15645.0882 | 8692.64672 | 0 | 490.4217 | 1262.276352 |
| F4 | 8.38104E-38 | 1.50672E-34 | 4.42173E-34 | 2.004366 | 17.98619 | 11.05054 | 0 | 0 | 0 | 0 | 0 | 0 |
| F5 | 28.5386165 | 28.73828569 | 0.058706857 | 28.16552 | 201.479 | 425.9967 | 28.77225 | 9287.973281 | 27386.12145 | 28.85031 | 125.0836 | 418.3718468 |
| F6 | 1.604405201 | 2.414645069 | 0.337315975 | 3.981857 | 4.742449 | 0.708074 | 3.208252 | 1001.534089 | 3041.337333 | 1.283955 | 3.564684 | 0.753311503 |
| F7 | 1.18769E-05 | 0.00014107 | 0.000117854 | 0.001433 | 0.026797 | 0.028499 | 0.000808 | 1.97907892 | 4.56601418 | 0.000211 | 0.008562 | 0.006334807 |
| F8 | -12569.48561 | -12331.07111 | 1302.165135 | -4622.82 | -3881.29 | 242.3523 | -10282.1 | -8335.730938 | 793.6447516 | -9951.52 | -8168.02 | 1128.411939 |
| F9 | 0 | 0 | 0 | 3.42E-05 | 20.2957 | 25.98259 | 0 | 40.24431503 | 34.89039772 | 0 | 28.18958 | 35.95304908 |
| F10 | 8.88178E-16 | 8.88178E-16 | 0 | 0.000149 | 13.62697 | 8.701756 | 8.88E-16 | 3.282461862 | 6.061361599 | 8.88E-16 | 8.88E-16 | 0 |
| F11 | 0 | 0 | 0 | 8.74E-05 | 0.157621 | 0.225942 | 0 | 9.045004074 | 27.59896339 | 0 | 0 | 0 |
| F12 | 0.061468771 | 0.149980393 | 0.064574383 | 0.50269 | 4.185299 | 5.581628 | 0.097938 | 0.368471839 | 0.149316848 | 0.062356 | 0.199487 | 0.088963627 |
| F13 | 0.231183478 | 0.620708907 | 0.178543174 | 2.283731 | 140.9698 | 575.6916 | 1.679716 | 2.60662873 | 0.327626169 | 2.144481 | 2.554139 | 0.223013957 |
| F14 | 0.998003838 | 2.859565842 | 3.468293763 | 0.998004 | 1.329502 | 0.751709 | 0.998004 | 0.998003838 | 1.7529E-10 | 0.998004 | 0.998004 | 1.577E-10 |
| F15 | 0.000434512 | 0.002211493 | 0.001418953 | 0.000324 | 0.000929 | 0.000422 | 0.000345 | 0.004712117 | 0.007258793 | 0.000515 | 0.00284 | 0.005948968 |
| F16 | -1.031628335 | -1.031598089 | 0.000115563 | -1.03163 | -1.03161 | 1.81E-05 | -1.03163 | -1.031620436 | 1.73866E-05 | -1.03163 | -1.03163 | 8.01686E-07 |
| F17 | 0.397889165 | 0.399117827 | 0.003524538 | 0.397954 | 0.398781 | 0.001023 | 0.397888 | 0.57267727 | 0.522247392 | 0.397925 | 0.463244 | 0.113514149 |
| F18 | 3.000025505 | 3.003683459 | 0.008499798 | 3.000001 | 3.000013 | 1.51E-05 | 3 | 3.000024736 | 4.20318E-05 | 3 | 3.000017 | 3.24566E-05 |
| F19 | -3.862755388 | -3.745946516 | 0.145654267 | -3.86149 | -3.85478 | 0.001938 | -3.8148 | -3.459847198 | 0.329196166 | -3.86096 | -3.71078 | 0.170743021 |
| F20 | -3.308128835 | -3.123959616 | 0.097760988 | -3.26165 | -2.99381 | 0.179043 | -2.66197 | -1.371838249 | 0.510292952 | -3.23502 | -2.08805 | 0.70843629 |
| F21 | -10.15310323 | -9.242086476 | 2.069120832 | -5.55057 | -2.03189 | 1.808624 | -3.36983 | -0.69587288 | 0.593342816 | -4.84365 | -2.40599 | 1.067293591 |
| F22 | -10.402819 | -7.749394958 | 3.105165732 | -5.48413 | -2.71799 | 1.745256 | -2.66385 | -0.817496029 | 0.521887683 | -4.4561 | -2.07772 | 1.041542403 |
| F23 | -10.53633175 | -9.59815583 | 2.1250152 | -8.46635 | -4.26134 | 1.885789 | -1.9146 | -0.834422478 | 0.335514144 | -9.12941 | -2.3765 | 1.459623931 |
| Sum | 14 | 16 | 11 | 5 | 5 | 5 | 11 | 3 | 4 | 12 | 6 | 6 |

Table 4. The experimental data of Wilcoxon rank-sum test (significance level $\alpha = 0.05$)

| Function | FMO | | SCA | | PSO | | PPSO | |
|----------|----------|---|----------|---|----------|---|----------|---|
| | p | h | p | h | p | h | p | h |
| F1 | 1.51E-11 | 1 | 1.51E-11 | 1 | 1 | 0 | 1 | 0 |
| F2 | 1.51E-11 | 1 | 1.51E-11 | 1 | 0.003802 | 1 | 1 | 0 |
| F3 | 1.51E-11 | 1 | 1.51E-11 | 1 | 5.52E-07 | 1 | 0.999982 | 0 |
| F4 | 1.51E-11 | 1 | 1.51E-11 | 1 | 1 | 0 | 1 | 0 |
| F5 | 1.51E-11 | 1 | 5.33E-08 | 1 | 1.51E-11 | 1 | 7.32E-11 | 1 |
| F6 | 1.51E-11 | 1 | 1.51E-11 | 1 | 5.47E-11 | 1 | 4.53E-08 | 1 |
| F7 | 1.51E-11 | 1 | 1.51E-11 | 1 | 1.51E-11 | 1 | 1.51E-11 | 1 |
| F8 | 1.51E-11 | 1 | 1.51E-11 | 1 | 1.51E-11 | 1 | 1.51E-11 | 1 |
| F9 | 6.06E-13 | 1 | 6.06E-13 | 1 | 9.32E-10 | 1 | 6.52E-08 | 1 |
| F10 | 6.06E-13 | 1 | 6.06E-13 | 1 | 0.080401 | 0 | 1 | 0 |
| F11 | 6.06E-13 | 1 | 6.06E-13 | 1 | 0.040761 | 1 | 1 | 0 |
| F12 | 1.51E-11 | 1 | 1.51E-11 | 1 | 3.06E-10 | 1 | 0.027773 | 1 |
| F13 | 1.51E-11 | 1 | 1.51E-11 | 1 | 1.51E-11 | 1 | 1.51E-11 | 1 |
| F14 | 1.19E-08 | 1 | 0.962586 | 0 | 1 | 0 | 1 | 0 |
| F15 | 1.74E-10 | 1 | 0.999024 | 0 | 0.031766 | 1 | 0.945655 | 0 |
| F16 | 6.03E-11 | 1 | 0.025939 | 1 | 0.918812 | 0 | 0.999954 | 0 |
| F17 | 2.29E-09 | 1 | 6.27E-08 | 1 | 2.5E-09 | 1 | 1.01E-08 | 1 |
| F18 | 1.51E-11 | 1 | 1 | 0 | 1 | 0 | 1 | 0 |
| F19 | 0.000618 | 1 | 0.999941 | 0 | 8.49E-09 | 1 | 0.015159 | 1 |
| F20 | 2.49E-11 | 1 | 0.001312 | 1 | 1.51E-11 | 1 | 5.78E-08 | 1 |
| F21 | 1.51E-11 | 1 | 1.91E-10 | 1 | 1.51E-11 | 1 | 1.84E-11 | 1 |
| F22 | 2.75E-11 | 1 | 1.02E-09 | 1 | 1.51E-11 | 1 | 3.06E-10 | 1 |
| F23 | 1.51E-11 | 1 | 3.85E-08 | 1 | 1.51E-11 | 1 | 4.96E-11 | 1 |



(c) F8

(d) F9

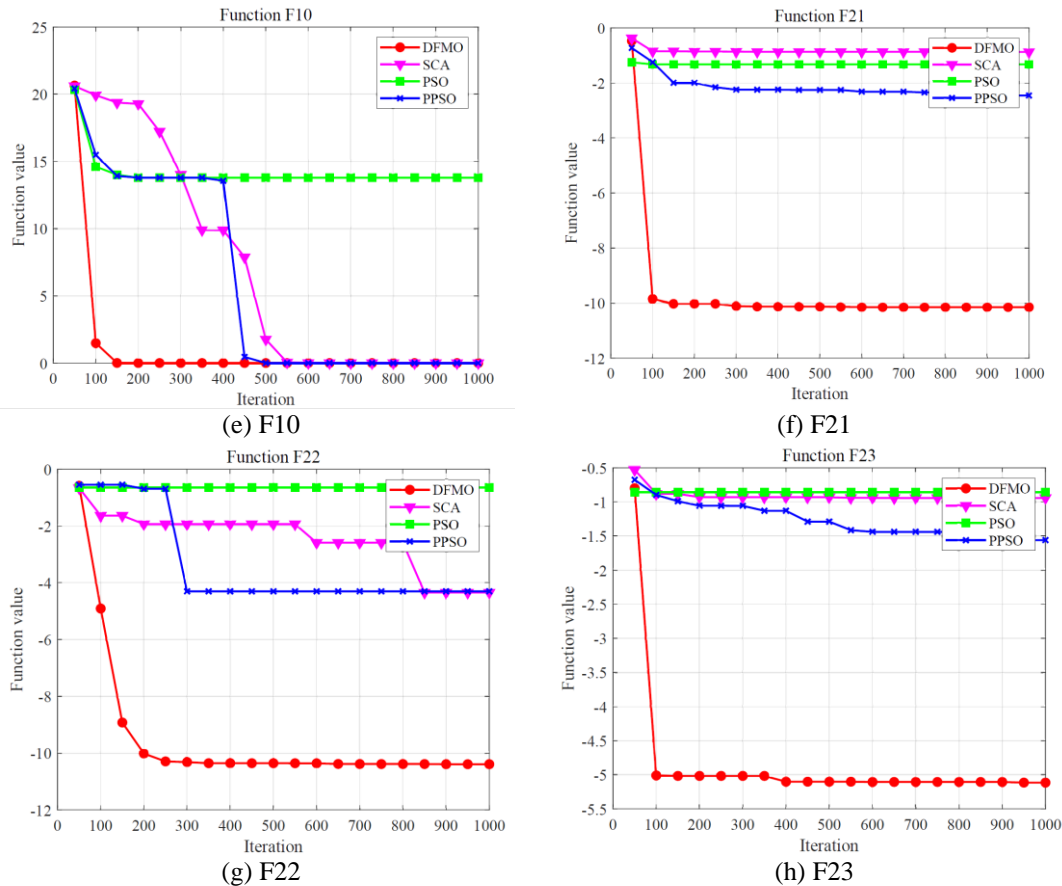


Figure 3. Convergence curve of functions F3, F6, F8, F9, F10, F21, F22, and F23

5 The Application of the DFMO Algorithm in the JSP problem

5.1 Coding Scheme

Since the JSP problem is a discrete space problem, the FMO algorithm and the DFMO algorithm proposed in this paper are both algorithms for solving continuous space problems. Therefore, it is necessary to use a suitable coding scheme to complete the mapping between the two. This paper adopts the coding rule in the literature [54] to code the individuals in the algorithm.

In the algorithm, each individual is a vector of $n \times m$, which is used to represent the arrangement of a process. For example, for a JSP problem with three workpieces and two processing machines. Assuming that the sequence of one individual is [1, 2, 3, 1, 3, 2], the corresponding processing sequence is $[J_{1,1}, J_{2,1}, J_{3,1}, J_{1,2}, J_{3,2}, J_{2,2}]$. $J_{i,j}$ represents the j th processing process of the i th workpiece. By matching the decoded processing sequence with the machine required for processing each workpiece determined in advance, a scheduling plan is obtained.

5.2 Analysis of Experimental Results

In order to verify the effectiveness of the proposed DFMO algorithm in solving the JSP problem, this paper selects the standard test library of the JSP problem for testing. In this paper, three examples are selected from the FT [55] and seven

examples are selected from the LA [56] test libraries respectively. The results are compared with the FMO algorithm, the PSO algorithm and the SCA algorithm.

In the experiment, the number of particles of each algorithm is 50 and the maximum number of iterations is 1000. The PSO algorithm and the SCA algorithm still use the parameter settings in the previous section. Each algorithm runs independently for 20 times. Table 5 records the experimental results of the four algorithms. Among them, the Size column represents the scale of the problem to be solved. For example, “10 × 5” means that the number of workpieces to be processed is 10, and the number of processing machines is 5. The DFMO algorithm is overall better than the other three algorithms in terms of optimal and mean values. However, it can also be seen from the data in the table that there are certain problems in the stability of the DFMO algorithm, which leads to a large difference between the mean value and the optimal value of the algorithm, which affects the final optimization success rate. For example, in the FT10, LA07 and LA16 examples, although the DFMO algorithm obtains better mean and optimal values than the PSO and SCA algorithms, the std values are worse than the PSO and SCA algorithms.

Figure 4 shows the convergence curves of the four algorithms in solving the above some examples. As can be seen from the figure, compared with the other three algorithms, the DFMO algorithm has a faster convergence speed. For example, in the FT06 and FT20 examples, although the final solution results of the SCA algorithm and the DFMO algorithm are the same, the convergence speed of the DFMO algorithm is faster. It can also be seen from the figure that the

FMO algorithm has premature convergence. Thanks to the introduction of multi-group structure and opposition-based learning strategy, the DFMO algorithm can jump out of the local optimal solution in time, which improves the convergence ability of the original FMO algorithm.

On the whole, the DFMO algorithm can better solve the JSP problem and is an effective algorithm. However, the stability of the DFMO algorithm is poor. Therefore, how to improve the stability and the optimization ability of the DFMO algorithm will be the focus of future research work.

Table 5. The experimental results of the JSP problem examples

| Example | Size | FMO | | | DFMO | | | PSO | | | SCA | | |
|---------|-------|------|---------|----------|-------------|---------------|----------------|-------------|---------|----------------|------------|-------------|----------------|
| | | Best | Mean | Std | Best | Mean | Std | Best | Mean | Std | Best | Mean | Std |
| FT06 | 6x6 | 60 | 63 | 2.675424 | 55 | 56.7 | 1.719853 | 55 | 58.2 | 1.239694 | 55 | 55.5 | 0.88852 |
| FT10 | 10x10 | 1277 | 1371.95 | 50.27972 | 1056 | 1155.7 | 56.91462 | 1127 | 1181.2 | 42.924117 | 1164 | 1206.75 | 26.4871 |
| FT20 | 20x5 | 1582 | 1705.95 | 60.10471 | 1370 | 1467.9 | 42.55016 | 1423 | 1513.55 | 47.9731 | 1425 | 1483.35 | 30.0863 |
| LA01 | 10x5 | 761 | 808.15 | 30.81912 | 666 | 689.8 | 19.7926 | 666 | 699.2 | 25.15552 | 666 | 697.85 | 21.18409 |
| LA03 | 10x5 | 721 | 760.3 | 27.70541 | 631 | 660.25 | 17.13683 | 640 | 675.95 | 16.64008 | 651 | 671.25 | 13.0379 |
| LA06 | 15x5 | 991 | 1057.55 | 45.06892 | 926 | 934.2 | 12.1118 | 926 | 941.1 | 18.5214 | 926 | 945.9 | 14.97331 |
| LA07 | 15x5 | 1033 | 1104.3 | 41.57694 | 921 | 975.75 | 29.44375 | 952 | 981 | 21.2924 | 944 | 979.1 | 21.30456 |
| LA09 | 15x5 | 1010 | 1099.45 | 52.79003 | 951 | 955.1 | 7.35491 | 951 | 981.35 | 19.88989 | 951 | 973.65 | 14.27281 |
| LA11 | 20x5 | 1345 | 1404.35 | 45.59233 | 1222 | 1237.5 | 16.8623 | 1222 | 1268.8 | 35.11575 | 1238 | 1277.75 | 16.1143 |
| LA16 | 10x10 | 1147 | 1263.85 | 56.94436 | 982 | 1069.8 | 46.55907 | 1042 | 1091.45 | 29.35172 | 1049 | 1112.45 | 27.5556 |

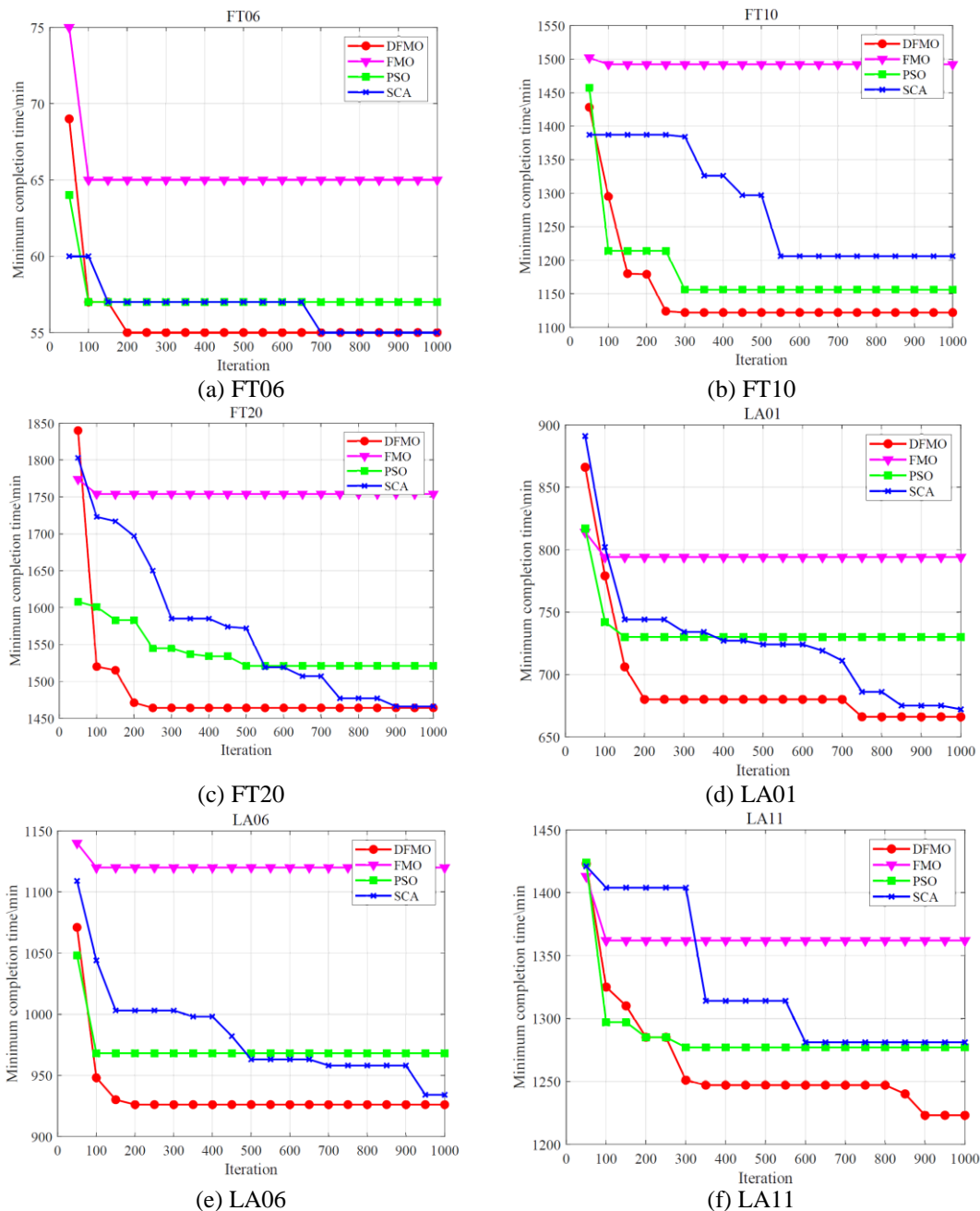


Figure 4. Convergence curve of the JSP problem examples FT06, FT10, FT20, LA01, LA06, and LA11

6 Conclusion

In order to better solve the JSP problem, this paper proposes a dynamic grouping FMO algorithm (DFMO). Firstly, the population in the FMO algorithm are grouped, which is improved from the original single population structure to multi-group structure. Each group contains the same individuals and does not affect each other before communication between groups. In this paper, three different communication strategies are developed to realize information sharing between different groups. Different from previous studies, this paper adopts a method based on population diversity to dynamically determine the communication time between groups, which can ensure that each group has fully mined the current region and improve the local search ability of the algorithm. In addition, the opposition-based learning strategy is introduced to expand the search space of the DFMO algorithm. Through the test of 23 benchmark functions, the function optimization ability and the feasibility of the improvement idea of the proposed DFMO algorithm are verified. Finally, in order to verify the effectiveness of the DFMO algorithm in solving the JSP problem, this paper selects relevant examples for testing. From the experimental results, the DFMO algorithm can solve the JSP problem better and is an effective method.

In this paper, the number of groups of the DFMO algorithm only considers the division into two groups. When there are more than two groups, how the architecture and policies proposed in this article work will also be a problem to be solved in the future. At the same time, in the future work, this paper will also focus on solving other problems of DFMO algorithm and expanding the application fields of the DFMO algorithm [57-61].

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